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Learning from an informant

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- List is necessarily incomplete. Excuses to those that have been forgotten.

http://pagesperso.lina.univ-nantes.fr/~cdlh/slides/

Chapter 12 (and part of 14)



Outline

- 1. The rules of the game
- 2. Basic elements for learning DFA
- 3. Gold's algorithm
- 4. RPNI
- 5. Complexity discussion
- 6. Heuristics
- 7. Open questions and conclusion



Motivation



- We are given a set of strings S₁ and a set of strings S₁
- Goal is to build a classifier
- This is a traditional (or typical) machine learning question
- How should we solve it?



Ideas



- Use a distance between strings and try k-NN
- Embed strings into vectors and use some off-the-shelf technique (decision trees, SVMs, other kernel methods)

Alternative



- Suppose the classifier is some grammatical formalism
- Thus we have L and $\Sigma^* \setminus L$



Informed presentations



- An *informed* presentation (or an informant) of $L \subseteq \Sigma^*$ is a function $f : \mathbb{N} \to \Sigma^* \times \{-,+\}$ such that $f(\mathbb{N})=(L,+)\cup(\overline{L},-)$
- f is an infinite succession of all the elements of Σ^* labelled to indicate if they belong or not to L.

Obviously many possible candidates



- Any Grammar G such that
 - $S_{+} \subseteq L(G)$
 - S₋ ∩ L(*G*) =∅
- But there is an infinity of such grammars!

Structural completeness



• (of *S*₊ *re* a *DFA*)

each edge is used at least once each final state accepts at least one string

 Look only at DFA for which the sample is structurally complete!

Example



• *S*₊={*aab*, *b*, *aaaba*, *bbaba*}





• *S*₊={*aab*, *b*, *aaaba*, *bbaba*} ...



Defining the search space by structural completeness



(Dupont, Miclet, Vidal 94)

- the basic operation: merging two states
- a bias on the concepts : structural completeness of the positive sample S_+
- a theorem: every biased solution can and can only be obtained by merging states in $CA(S_{+})$
- the search space is a partition lattice.





The partition lattice



- Let E be a set with *n* elements
- The number of partitions of *E* is given by the Bell number

$$\begin{cases} \omega(0) = 1 \\ \omega(n+1) = \sum_{p=0}^{n} C_{n}^{p} \cdot \omega(n) \\ \omega(16) = 10\ 480\ 142\ 147 \end{cases}$$

Regular inference as search



- another result: the smallest *DFA* fitting the examples is in the lattice constructed on $PTA(S_{+})$
- generally, algorithms would start from $PTA(S_{+})$ and explore the corresponding lattice of solutions using the merging operation. S_{-} is used to control the generalization.



2 Basic structures





Two types of final states

 $S_{+}=\{\lambda, aaa\}$ $S_{-}=\{aa, aaaaaa\}$



1 is accepting 3 is rejecting What about state 2?



What is determinism about?



The prefix tree acceptor



- The smallest tree-like DFA consistent with the data
- Is a solution to the learning problem
- Corresponds to a rote learner



From the sample to the PTA





 $S_{+}=\{\lambda, aaa, aaba, ababa, bb, bbaaa\}$ $S_{-}=\{aa, ab, aaaa, ba\}$

Red, **Blue** and White states



-Red states are confirmed states -Blue states are the (non Red) successors of the Red states -White states are the others





Suppose we want to merge state 3 with state 2





First disconnect 3 and reconnect to 2





Then fold subtree rooted in 3 into the DFA starting in 2





Then fold subtree rooted in 3 into the DFA starting in 2



Other search spaces



an augmented *PTA* can be constructed on both S_{+} and S_{-} (Coste 98, Oliveira 98)

- but not every merge is possible
- the search algorithms must run under a set of dynamic constraints

State splitting

Searching by splitting

• start from the onestate universal automaton, keep constructing DFAcontrolling the search with $\langle S_+, S_- \rangle$





• That seems a good idea... but take a^{5*} . What 4 (or 3, 2, 1) state automaton is a decent approximation of a^{5*} ?



3 Gold's algorithm

E. M. Gold. Complexity of automaton identification from given data. *Information and Control*, 37:302–320, 1978.

Key ideas



- Use an observation table
- Represent the states of an automaton as strings, prefixes of the strings in the learning set
- Find some incompatibilities between these prefixes due to separating suffixes
- This leads to equivalent prefixes
- Invent the other equivalences



Strings as states





Incompatible prefixes

- *S*₊={*aab*}
- *S*₋={*bab*}
- Then clearly there are at least 2 states, one corresponding to *a* and another to *b*.
Observation table



 The information is organised in a table <STA, EXP, OT> where:

- $RED \subseteq \Sigma^*$ is the set of states
- BLUE ⊆ ∑* is the set of transitions
 BLUE=(RED.∑)\RED
- $EXP \subseteq \Sigma^*$ is the *experiment set*
- OT: (STA=RED∪BLUE)×EXP →{0,1,*} such that:

$$\mathcal{OT}[u][e]$$
 = 1 if $ue \in S_{\downarrow}$
0 if $ue \in S_{\downarrow}$
* otherwise

(



An observation table





Meaning









Redundancy





DFA consistent with a table

	λ	a	
λ	1	0	
a	0	*	b a a
<i>b</i>	1	*	7
aa	*	0	a,b
ab	1	0	
			b a
E	Both	DF	A are consistent with this table

Closed table without holes



- Let u∈ RED∪BLUE and let OT[u][e] denote a cell
 OT[u] denotes the row indexed by u
- We say that the table is *closed if* $\forall t \in BLUE, \exists s \in RED : OT[t] = OT[s]$
- We say that the table has *no holes if* $\forall u \in RED \cup BLUE, \forall e \in CT[u][e] \in \{0,1\}$

This table is closed







This table is not closed



Zadar, August 2010

An equivalence relation



Let *«RED,EXP,OT»* be a closed table and with no holes. Consider the equivalence relation over *STA*:

 $OT[s_1] = OT[s_2] \land \forall a \in \Sigma OT[s_1a] = OT[s_2a]$

Class of s is denoted by [s] (also!)



Equivalent prefixes



prefixes λ and bare equivalent since $OT[\lambda]=OT[b]$

Building an automaton from a table



- We define $A(\langle STA, EXP, OT \rangle) = (\Sigma, Q, \delta, q_0, F)$ as follows:
 - $Q = \{ [s] : s \in RED \}$
 - *q*₀ = [\lambda]
 - F = {[ue]: OT[u][e] = 1}
 - δ([S₁], a)=
 - $[s_2a]$ if $\exists s_2 \in [s_1]$. $s_2a \in RED$
 - any [s]: $s \in RED \land OT[s] = OT[s_1a]$





Compatibility Theorem:



- Let <STA, EXP, OT> be an observation table closed and with no holes
- If STA is prefix-complete and EXP is suffix-complete then A(<STA,EXP,OT>) is compatible with the data in <STA,EXP,OT>

Example

- *RED* = [λ]
- *Q* = {[λ]}
- $q_0 = [\lambda]$
- *F* = {[λ]}







Exercise



• Build a DFA from either of these two tables



Building the initial table from a sample



- Given a sample 5 and a set of strings (*RED*) prefix-complete, it is always possible to select a set of experiments *E* such that the table *<STA,E,OT>* contains all the information in *S*
- But usually this table is going to have holes



Obviously different rows

Let $s_1, s_2 \in RED \cup BLUE$ we say that $OT[s_1]$ is obviously different from $OT[s_2]$ if

∃*e*∈*E*:

 $OT[s_1][e], OT[s_2][e] \in \{0,1\}$ and $OT[s_1][e] \neq OT[s_2][e]$

If $\exists t \in BLUE$ such that OT[t]is obviously different from any OT[s], ($s \in RED$) then no filling of holes in $\langle RED, EXP, OT \rangle$ can produce a closed table.



λ a λ a b * * aaab ab is OD with each s

Algorithm



 $RED \leftarrow \{\lambda\}$ build $\langle RED, E, OT \rangle$ with E suffix-complete while $\exists x \in BLUE$: OT[x] is OD do add x to REDupdate BLUEupdate $\langle STA, E, OT \rangle$

$\begin{array}{ll} Q \leftarrow RED & \text{There can be several} \\ q_0 \leftarrow \lambda & \text{such } t' \\ F \leftarrow \{t \in RED : OT[t][\lambda] = 1\} \\ \delta(t,a) \leftarrow ta \text{ if } ta \in RED \\ t' \text{ if } t' \in RED \text{ and not } OD \end{array}$

if <*STA,EXP,OT>* is incompatible with *S*, return the PTA

Example run

- *S*₊={*bb*, *abb*, *bba*, *bbb*}
- *S_*={*a*, *b*, *aa*, *ba*, *bab*}



	λ	а	b	aa	ab	ba	bb	abb	bab	bba	bbb	
λ	*	0	0	0	*	0	1	1	0	1	1	
b	0	0	1	*	0	1	1	*	*	*	*	
а	0	0	*	*	*	*	1	*	*	*	*	
ba	0	*	0	*	*	*	*	*	*	*	*	
bb	1	1	1	*	*	*	*	*	*	*	*	

1) We promote line b

2) We expand the table, adding rows ba and bb 3) bb is OD

	λ	а	b	aa	ab	ba	bb	abb	bab	bba	bbb	
λ	*	0	0	0	*	0	1	1	0	1	1	
b	0	0	1	*	0	1	1	*	*	*	*	
bb	1	1	1	*	*	*	*	*	*	*	*	
а	0	0	*	*	*	*	1	*	*	*	*	
ba	0	*	0	*	*	*	*	*	*	*	*	
bba	1	*	*	*	*	*	*	*	*	*	*	
bbb	1	*	*	*	*	*	*	*	*	*	*	

1) We promote line bb

2) We expand the table, adding rows *bba* and *bbb*3) We construct the automaton as no line is OD _{Zadar, August 2010}



	λ	а	b	
λ	*	0	0	
b	0	0	1	
bb	1	1	1	
a	0	0	*	
ba	0	*	0	
bba	1	*	*	
bbb	1	*	*	



Wild guess!



The automaton is inconsistent. We shall have to return the *PTA* instead.

But !



- \mathbf{a}_{Gold} is deterministic: it takes deterministic decisions in order to solve the \ll filling holes \gg question
- In practice it will very often return the PTA

Equivalence of problems



Let *RED* be a state test set prefix-complete, and *S* be a sample. Let $\langle STA, EXP, OT \rangle$ be an observation table consistent with all the data in *S*, with *EXP* suffix-complete

The question:

Does there exist a DFA with the states of RED and compatible with 5?

is equivalent to:

Can we fill the holes such that *<STA,EXP,OT>* is closed?

Complexity



The problem:

is there a DFA with states in RED and compatible with S?

is NP-Complete

Corollary



Given S and a positive integer n, the question:

Is there a DFA with *n* states compatible with *S*?

is NP-Complete

Properties of a_{Gold}



- 1) the output is consistent with a sample S
- 2) **a**_{Gold} identifies in the limit any regular language
- 3) \mathbf{a}_{Gold} works in time polynomial in |S|
- 4) if the size of the target is *n*, then there is a characteristic sample *CS* with |CS|= $2n^{2}(|\Sigma|+1)$, such that $\mathbf{a}_{Gold}(S)$ produces the canonical acceptor for all $S \supseteq CS$

Open questions



- Can one fill the holes in a more "intelligent" way?
- How fast can we detect that a choice (for a filling) is good or bad?

Exercise



- Run Gold's algorithm for the following data:
- *S*₊={*a*, *abb*, *bab*, *babb*}
- *S*_={*ab*, *bb*, *aab*, *b*, *aaaa*, *babb*}

4 RPNI

Regular Positive and Negative Grammatical Inference Inferring regular languages in polynomial time. Jose Oncina & Pedro García. Pattern recognition and image analysis, 1992

- RPNI is a state merging algorithm
- RPNI identifies any regular language in the limit
- RPNI works in polynomial time
- RPNI admits polynomial characteristic sets


- $A=PTA(S+); Blue = \{\delta(q_I, a): a \in \Sigma \};$ Red = $\{q_I\}$
- While *Blue*≠Ø do
 - choose q from Blue
 - if $\exists p \in Red$: L(merge_and_fold(A, p, q)) $\cap S = \emptyset$ then $A = merge_and_fold(A, p, q)$ else $Red = Red \cup \{q\}$ Blue = { $\delta(q, a)$: $q \in Red$ } - {Red}



$S_{+}=\{\lambda, aaa, aaba, ababa, bb, bbaaa\}$



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



Try to merge 2 and 1



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



First merge, then fold



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



But now string aaaa is accepted, so the merge must be rejected, and state 2 is promoted



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



Try to merge 3 and 1



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



First merge, then fold



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



No counter example is accepted so the merge is kept



 $S_{=}$ {aa, ab, aaaa, ba}



Next possible merge to be checked is {4,13} with {1,3,6}



 $S_{=}$ {aa, ab, aaaa, ba}



Merged. Needs folding subtree in {4,13} with {1,3,6}



 $S_{=}$ {aa, ab, aaaa, ba}



But now aa is accepted



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



So we try {4,13} with {2,10}



$S_{=}$ {aa, ab, aaaa, ba}

Negative string aa is again accepted. Since we have tried all Red for merging, state 4 is promoted.





 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



So we try 5 with {1,3,6}



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



But again we accept ab



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



So we try 5 with {2,10}



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



Which is OK. So next possible merge is {7,15} with {1,3,6}



 $S_{=}$ {aa, ab, aaaa, ba}



Which is OK. Now try to merge {8,12} with {1,3,6,7,15}



 $S_{=}$ {aa, ab, aaaa, ba}

And *ab* is accepted



 $S_{=}$ {*aa*, *ab*, *aaaa*, *ba*}



Now try to merge {8,12} with {4,9,13}



 $S_{=}$ {aa, ab, aaaa, ba}



This is OK and no more merge is possible so the algorithm halts



 $S_{=}$ {aa, ab, aaaa, ba}

Properties



- RPNI identifies any regular language in the limit
- RPNI works in polynomial time. Complexity is in $O(\|S_{+}\|^{3}.\|S_{-}\|)$
- There are many significant variants of RPNI
- RPNI can be extended to other classes of grammars

Exercices

- Run RPNI on
 - *S*₊={*a*,*bba*,*bab*,*aabb*}
 - *S_*={*b*,*ab*,*baa*,*baabb*}
- Find a characteristic sample for:



5 Complexity issues



A characteristic sample



- A sample is characteristic (for some algorithm) whenever, when included in the learning sample, the algorithm returns the correct DFA
- The characteristic sample should be of polynomial size
- There is an algorithm which given a DFA builds a characteristic sample

Definition : polynomial characteristic sample



G has polynomial characteristic samples for identification algorithm **a** if there exists a polynomial p() such that: given any G in G, $\exists CS \text{ correct sample for } G$, such that when $CS \subseteq f_n$, $\mathbf{a}(f_n) \equiv G$ and $\|CS\| \leq p(\|G\|)$

About characteristic samples



- If you add more strings to a characteristic sample it still is characteristic
- There can be many different characteristic samples (EDSM, tree version,...)
- Change the ordering (or the exploring function in RPNI) and the characteristic sample will change

Open problems



- RPNI's complexity is not a tight upper bound. Find the correct complexity
- The definition of the characteristic sample is not tight either. Find a better definition
- Can there be a linear time DFA learner?

Collusion



- Collusion consists in having the learner and the teacher agree of some specific encoding system. Then, the teacher can just pass one string which is the encodinng of the target.
- Is that cheating?
- Is that learning?

6 Heuristics



6.1 Genetic Algorithms



- The principle: via evolutionary mechanisms nature increases the quality of its population.
- Allow a population of solutions to interact and evolve.



Mechanisms (gene level):

- Mutation
- Crossing-over

(a solution is just a string)

Mutation



TTAGCCTTC













Idea: define the solutions as sequences



- Be able to measure the quality of a solution
- Conceive a first generation
- Define the genetic operations (mutation, crossing over)
- Keep the best elements of the second generation
- Iterate

Genertic algorithms in Grammatical Inference



- (Dupont 94)
 - code the automata (the partition of states of *PTA(S₊)*) into partitions
 - define genetic operators
 - define an optimum as an automaton with as few states as possible and rejecting S₁
 - run the genetic algorithm
Structural Mutation



- Select a state from a block and move it to another block
- Example: {{1,3} {2} {4,5}}

{{1} {2} {**3**,4,5}}

 $\{\{1\} \{2\} \{3\} \{4,5\}\}$





Structural crossover

{1,2}{3,4,5} {1,3}{4}{2,5}





Group number encoding

Partition {{1,2,6}{3,7,9,10}{4,8,12}{5}{11}} is encoded by (112341232253)

6.2 Tabu search



- (Giordano 96, based on Glover 89)
- General idea: search a space by choosing a point, and going to its best neighbor that is not in the tabu list.

- $R \leftarrow$ the set of rules of the grammars in the search space
- $G \leftarrow an$ initial grammar
- $G^* \leftarrow G$ the best solution reached so far
- $\mathcal{T} \leftarrow \varnothing$ the Tabu list that cannot occur
- $k \leftarrow 0$ the iterations counter



While $k \neq kmax do$

- select r in $R \setminus T$, such that the addition or deletion of r from G realizes the maximum of valon X
- add or delete *r* from *G*
- if $val(G) > val(G^*)$ then $G^* \leftarrow G$
- Update T
- $k \leftarrow k+1$

Return G*



- Procedure Update(*T*, *r*)
 if card(*T*) = *n* then delete its last element
 Add *r* as the first element of *T*
- Tricks
 - If *blocked* then delete oldest rule
 - blocked ← 6 iterations
 - if new G* then empty(7)

6.3 Heuristic greedy State Merging



- RPNI chooses to merge the first 2 states that can be merged
- This is an optimistic view
- There may be another...
- But remember: RPNI identifies in the limit!

How do greedy state merging algorithms work?



- choose two states
 - perform a cascade of forced merges to get a deterministic automaton
 - if it accepts sentences of *S*-, backtrack and choose another couple
 - if not, loop until no merging is still possible







What moves are allowed?

- Merging a Owith a O
- Promoting a
 to
 and all its successors
 that are not
 to
- Promotion:
- when a \bigcirc can be merged with no \bigcirc

What if there are many merges possible?

- Heuristics
- compute a score
- choose highest score







Evidence driven (Lang 98)

for each possible pair (●, ●) do
 parse S, and S_ on A resulting from the
 merge
 assign a score to each state of A according
 to the sentences that they accept
 if there is a conflict: -∞
 else the number of sentences accepted
 sum over all states ⇒ the score of the

merge

select the merge with the highest score

Data driven (cdlh, Oncina & Vidal 96)



For every \bigcirc or \bigcirc state in A count $v_+(q) = \sum_{w \in S_+} |\{u \in \Pr ef(w) : \delta(q_0, u) = q\}|$ $v_-(q) = \sum_{w \in S_-} |\{u \in \Pr ef(w) : \delta(q_0, u) = q\}|$

Choose the pair (\bigcirc, \bigcirc) such that $min(v_+(\bigcirc), v_+(\bigcirc)) + min(v_-(\bigcirc), v_-(\bigcirc))$

is maximal

Zadar, August 2010

Careful



• Count first...

... then try to merge

- Keep track of all tries
- if some is not mergeable, promote it!

Main differences



- data driven is cheaper
- evidence driven won Abbadingo competition
- In the stochastic case, it seems that data driven is a good option...

6.4 Constraint Satisfaction *PTA* (ababc, +) (c, +) (aac, -) (ab, -) (abac, -)(a,-)





Consider (Q, incompatible)



- All you have to do is find a maximum clique...
- Another NP-hard problem, but for which good heuristics exist.
- Careful : the maximum clique only gives you a lower bound...

Alternatively



- You have |Q| variables $S_1...S_{|Q|}$, and *n* values 1..*n*.
- You have constraints

or
$$S_i \neq S_j$$

or $S_i = S_j \Rightarrow S_k = S_i$
Solve.

Biermann 72, Oliveira & Silva 98, Coste & Nicolas 98Zadar, August 2010

7 Open questions and conclusions



Other versions



• A Matlab version of RPNI

http://www.sec.in.tum.de/~hasan/matlab/gi_toolbox/1.0-Beta/

- A JAVA version
- http://pagesperso.lina.univ-nantes.fr/~cdlh/Downloads/RPNIP.tar.gz
- A parallel version exists, and an OCAML, C, C++...

Some open questions



- Do better than EDSM (still some unsloved Abbadingo task out there...)
- Write a O(||f(n)||) algorithm which identifies DFA in the limit (Jose Oncina and cdlh have a log factor still in the way)
- Identify and study the collusion issues
- Deal with noise.